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## Finite Subgroups of Units of Group Algebras, I\*

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## 1. INTRODUCTION

The following question is considered: If  $G$  is a finite group and  $K$  an algebraic number field, what can be said about the finite subgroups of the unit group of  $KG$  which contain  $G$ ? If the field  $K$  is totally real or a totally imaginary quadratic extension of a totally real field, then all the maximal finite groups containing  $G$  can be described in terms of certain unitary groups associated with maximal orders containing  $RG$ ,  $R$  the algebraic integers in  $K$ . The main result is stated and proved in Section 2. One corollary shows that the trivial units of  $RG$  form the unique maximal finite subgroup in  $RG$  containing  $G$ . The last section is devoted to an analysis of this problem for the case  $G$  is a dihedral group and  $K$  is the rational field. It is shown in this case that  $G$  is contained in a unique maximal finite subgroup of  $QG$  and this maximal group is the direct product of groups of order 2 and certain dihedral groups. The uniqueness of the maximal group may be somewhat unexpected but the description as a product of dihedral groups is not surprising since all irreducible representations of a dihedral group have degree one or two and all groups in degree two are known. Other examples and results will be given in a following paper on this problem.

## 2. GENERAL RESULTS

Let  $K$  be an algebraic number field and let  $\sigma_1, \dots, \sigma_{r+2s}$  be the distinct imbeddings of  $K$  into the complex field  $\mathbb{C}$ . Assume the numbering is arranged so that  $\sigma_1, \dots, \sigma_r$  map  $K$  into the real field  $\mathbb{R}$  and, for each  $j$ ,  $\sigma_{r+j}$  and  $\sigma_{r+j+s}$  are complex conjugate imbeddings. An automorphism  $x \rightarrow x^*$  of  $K$  is a *complex conjugation* on  $K$  if for each index  $j$  and for each  $x$  in  $K$ ,

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$\sigma_j(x^*)$  is the complex conjugate of  $\sigma_j(x)$ . If  $K$  admits a complex conjugation then, either  $K$  is totally real with  $*$  the identity, or the fixed field  $K_0$  of  $*$  is totally real and  $K$  is totally imaginary. An element  $x$  in  $K$  is *totally positive* if  $\sigma_j(x)$  is real and positive for each  $j$ . The ring of algebraic integers in  $K$  is denoted by  $R$ .

The following result must be well known but a proof is included for lack of a reference.

**THEOREM 1.** *Let  $K$  be an algebraic number field which admits a complex conjugation  $x \rightarrow x^*$ .*

(a) *Let  $n$  be a fixed positive integer and  $k$  some fixed element of  $K$ . Then there exist at most a finite number of  $n$ -tuples  $(\alpha_1, \dots, \alpha_n)$  of elements of  $R$  which satisfy  $\alpha_1\alpha_1^* + \dots + \alpha_n\alpha_n^* = k$ .*

(b) *If  $\alpha_1, \dots, \alpha_n$  are elements of  $R$  which satisfy  $\alpha_1\alpha_1^* + \dots + \alpha_n\alpha_n^* = 1$  then for some index  $j$ ,  $\alpha_j$  is a root of unity and all other  $\alpha_i$  equal 0.*

*Proof.* The definitions imply that for  $\alpha \neq 0$ ,  $\alpha\alpha^*$  is totally positive. Hence it may be assumed that  $k$  is totally positive (else no solutions exist). We begin by showing there exist at most a finite number of  $n$ -tuples  $(\beta_1, \dots, \beta_n)$  with each  $\beta_i$  a totally positive element of  $R$  and  $\beta_1 + \dots + \beta_n = k$ .

Consider the map  $v$  from  $K$  to the  $\mathbb{R}$ -vector space  $\mathbb{R}^r \times \mathbb{C}^s$  defined by

$$v(x) = (\sigma_1(x), \dots, \sigma_{r+s}(x)).$$

Then  $v$  is an additive monomorphism which carries  $R$  onto a discrete lattice. For any index  $j$  we have  $\sigma_j(\beta_1) + \dots + \sigma_j(\beta_n) = \sigma_j(k)$ . The totally positive condition forces  $0 \leq \sigma_j(\beta_i) \leq \sigma_j(k)$ . Thus  $v(\beta_i)$  lies in a bounded subset. By I.10.2 and I.11.3 of [1] it follows that each  $\beta_i$  lies in a finite subset of  $R$ .

In view of this the proof of part (a) is reduced to the proof of the statement: for each totally positive element  $\beta$  in  $R$  there exist at most a finite number of  $\alpha$  in  $R$  which satisfy  $\alpha\alpha^* = \beta$ . Every such  $\alpha$  generates a principal ideal  $A = (\alpha)$  which satisfies  $AA^* = (\beta)$ . But the ideal  $(\beta)$  has only a finite number of factorizations as a product of an ideal and its conjugate. Thus it is sufficient to show there exist only a finite number of elements  $\alpha$  in  $R$  which satisfy  $\alpha\alpha^* = \beta$  and  $(\alpha) = A$ ,  $A$  a fixed ideal dividing  $(\beta)$ . If  $\alpha_1$  is another element which satisfies these conditions then  $(\alpha) = (\alpha_1)$  so  $\alpha_1 = \mu\alpha$ ,  $\mu$  a unit of  $R$ . Since  $\alpha_1\alpha_1^* = \alpha\alpha^*$ , it follows that  $\mu\mu^* = 1$ . Now each  $\sigma_j(\mu)$  has absolute value 1 so  $v(\mu)$  lies in a bounded subset of  $\mathbb{R}^r \times \mathbb{C}^s$ . Thus only a finite number of  $\mu$  are possible; this proves part (a).

Now suppose  $\alpha_i \neq 0$  and  $\alpha_1\alpha_1^* + \dots + \alpha_n\alpha_n^* = 1$ . If some  $\alpha_j \neq 0$  for  $j \neq 1$  then, for all  $i$ ,

$$0 < \sigma_i(\alpha_1\alpha_1^*) < 1.$$

Thus we obtain

$$0 < N_{K/Q}(\alpha_1 \alpha_1^*) = \sigma_1(\alpha_1 \alpha_1^*) \cdots \sigma_{r+2s}(\alpha_1 \alpha_1^*) < 1.$$

However, when  $\alpha_1$  is in  $R$ ,  $N_{K/Q}(\alpha_1 \alpha_1^*)$  is an integer and cannot lie strictly between 0 and 1. It follows that only one  $\alpha$  is non-zero and it satisfies  $\alpha \alpha^* = 1$ . By part (a) the set of such  $\alpha$  is finite and is a group under multiplication. Thus  $\alpha$  is a root of unity and part (b) is proved.

Let  $K$  be an algebraic number field which admits a complex conjugation  $x \rightarrow x^*$  and let  $G$  be a finite group of order  $n$ . Define an involution  $J$  on  $KG$  by the rule

$$J\left(\sum \alpha(g) g\right) = \sum \alpha(g)^* g^{-1},$$

where  $\alpha(g)$  is in  $K$  and the sum is over all  $g$  in  $G$ . This map is clearly additive and one can show  $J(xy) = J(y)J(x)$  for all  $x, y$  in  $KG$ . Since  $J^2$  is the identity,  $J$  is an involution. For any subring  $A$  of  $KG$  let

$$U_J(A) = \{\lambda \in A : \lambda J(\lambda) = 1\}.$$

It is easily verified that  $U_J(A)$  is a (multiplicative) group. These groups are of special interest in our main problem. As before  $R$  denotes the integers in  $K$ . The unit group of  $KG$  is denoted by  $KG^\times$ .

**THEOREM 2.** *If  $A$  is an  $R$ -order in  $KG$  which contains  $RG$ , then  $U_J(A)$  is a finite group containing  $G$ . As  $A$  ranges over all maximal  $R$ -orders containing  $RG$ , the groups  $U_J(A)$  range over all maximal finite subgroups of  $KG^\times$  which contain  $G$ .*

*Proof.* Let  $A$  be an  $R$ -order which contains  $RG$ . Then certainly  $G \subseteq U_J(A)$ . We show  $U_J(A)$  is finite. By Theorem 41.1 of [2] we have

$$RG \subseteq A \subseteq n^{-1}RG.$$

If  $\lambda$  is an element in  $U_J(A)$  then there are elements  $\alpha(g)$  in  $R$  such that

$$n\lambda = \sum \alpha(g) g.$$

The equation  $\lambda J(\lambda) = 1$  implies

$$n^2 = \sum \alpha(g) \alpha(g)^*.$$

By part (a) of Theorem 1 there exist only a finite number of  $n$ -tuples  $(\alpha(g))$  and so only a finite number of possible  $\lambda$ . Hence  $U_J(A)$  is finite.

Now let  $H$  be a finite subgroup of  $KG^\times$  which contains  $G$ . Let  $A$  be the ring generated by  $R$  and the elements of  $H$ . Then  $A$  contains  $RG$  and  $A$  is an  $R$ -order. In order to prove the theorem, it is enough to show that  $H \subseteq U_r(A)$ ; in other words it is enough to show  $J(h) = h^{-1}$  for each  $h$  in  $H$ .

We begin the proof of this fact. View  $H$  as an abstract group and consider the group algebra  $KH$  and its involution which inverts elements of  $H$  and induces the conjugation on  $K$ . The inclusion of  $H$  into  $KG^\times$  induces a  $K$ -algebra epimorphism  $f: KH \rightarrow KG$ . As a first step it is necessary to show that kernel  $f$  is  $J_H$ -invariant. Since every nonzero ideal is a sum of simple ideals, it is sufficient to show each simple ideal is  $J_H$ -invariant. A simple ideal  $M$  has the form  $M = KHe$  for a central idempotent element  $e = \sum \beta(g)g$ . Some coefficient  $\beta(g_1)$  is nonzero and so  $eJ_H(e)$  is non-zero because the coefficient of the identity is the sum of the totally positive (or zero) elements  $\beta(g)\beta(g)^*$  at least one of which is non-zero. But now  $J_H(e)$  is also a central idempotent and is either equal to  $e$  or is orthogonal to  $e$ . We have just seen it is not the latter so  $e = J_H(e)$  and  $M = J_H(M)$  follows. Hence  $J_H(\ker f) = \ker f$ . This means  $J_H$  induces an involution  $J_0(f(x)) = f(J_H(x))$  for  $x$  in  $KH$ . The map  $f$  was induced by the inclusion of  $H$  into  $KG$  so we find for all  $h$  in  $H$  that

$$J_0(h) = fJ_H(h) = f(h^{-1}) = h^{-1}.$$

So in particular  $KG$  supports the two involutions  $J$  and  $J_0$  both of which invert the elements of  $G$  (as  $G \subseteq H$ ) and induce conjugation on  $K$ . In particular  $J = J_0$  and so  $J$  inverts  $H$  as required to complete the proof.

**COROLLARY.** *There are only a finite number of finite subgroups of  $KG^\times$  which contain  $G$ .*

*Proof.* It is sufficient to prove there exist only a finite number of maximal finite subgroups of  $KG^\times$  containing  $G$ . This will follow from the theorem if we show there exist only a finite number of maximal orders containing  $RG$ . Every such maximal order lies between  $RG$  and  $n^{-1}RG$ . Since  $RG$  has finite index in  $n^{-1}RG$ , only a finite number of subgroups and hence only a finite number of orders lie between  $RG$  and  $n^{-1}RG$ .

It is well-known result that if  $G$  is an abelian group, then every unit of  $RG$  which has finite order is a root of unity in  $R$  times an element of  $G$ . It is also well known that if  $G$  is non-abelian,  $RG$  may contain elements of finite order not of this form. Our methods give the following generalization of the abelian case.

**COROLLARY.** *For any finite group  $G$ , there is a unique maximal finite subgroup of the units of  $RG$  which contains  $G$ . It is the group of all units of the form  $\mu g$  with  $\mu$  a root of unity in  $R$  and  $g$  in  $G$ .*

*Proof.* The unique maximal finite subgroup of the units of  $RG$  containing  $G$  is  $U_f(RG)$  by the theorem. Let  $\lambda = \sum \alpha(g)g$  be an element of this group. Then each coefficient  $\alpha(g)$  lies in  $R$ . The equation  $\lambda J(\lambda) = 1$  implies

$$1 = \sum \alpha(g) \alpha(g)^*.$$

The corollary now follows from Theorem 1b.

### 3. DIHEDRAL GROUPS

Let  $Q$  denote the rational field and  $D$  a dihedral group of order  $2n$ . We shall prove that  $D$  is contained in a unique maximal finite subgroup of  $QD$  and also describe this maximal group explicitly.

Let  $D = \langle a, b: a^n = b^2 = 1, bab = a^{-1} \rangle$ . The description of  $QD$  will use the following crossed product algebras. Let  $\zeta$  be a root of unity of order  $m \geq 3$  and let  $\tau$  be the automorphism of  $Q(\zeta)$  which inverts  $\zeta$ . Then  $\tau$  has order 2. Let  $A(\zeta)$  denote the algebra

$$A(\zeta) = (Q(\zeta), \tau, 1) = Q(\zeta) + Q(\zeta) u_\tau,$$

where  $u_\tau^2 = 1$  and  $u_\tau x = \tau(x) u_\tau$  for  $x$  in  $Q(\zeta)$ . Then  $A(\zeta)$  is isomorphic to a  $2 \times 2$  matrix ring over the field  $Q(\zeta + \zeta^{-1})$ .

Finally let  $k$  denote the index of the commutator subgroup of  $D$ ;  $k = 2$  if  $n$  is odd and  $k = 4$  if  $n$  is even.

**THEOREM 3.** *The decomposition of  $QD$  into a direct sum of simple rings is described as follows: There are  $k$  summands isomorphic to  $Q$ . For each divisor  $m$  of  $n$  with  $m \geq 3$  let  $\zeta_m$  denote some primitive  $m$ th root of unity. There is one simple summand of  $QD$  isomorphic to  $A(\zeta_m)$ . The total number of simple summands equals the number of divisors of  $n$  plus  $\frac{1}{2}k$ .*

*Proof.* The group  $D$  has  $k$  distinct linear characters all of which have their values in  $Q$ . Hence  $QD$  has  $k$  summands isomorphic to  $Q$  and this accounts for all commutative summands. Suppose  $m$  is a divisor of  $n$  with  $m \geq 3$ . Then the group  $\langle \zeta_m, u_\tau \rangle$  in  $A(\zeta_m)$  is an epimorphic image of  $D$  under the correspondence  $a \rightarrow \zeta_m, b \rightarrow u_\tau$ . Since  $A(\zeta_m)$  is generated over  $Q$  by  $\zeta_m$  and  $u_\tau$  it follows that  $QD$  maps onto  $A(\zeta_m)$  and so  $A(\zeta_m)$  is one of the simple summands of  $QD$ . No two of these summands are isomorphic since we select only one  $\zeta_m$  for each divisor  $m$ . To show that all summands have been

counted, we compute dimensions over  $Q$ . The Euler function  $\phi$  has the property  $\sum \phi(m) = n$  if  $m$  ranges over all divisors of  $n$ . Thus the sum

$$\sum_{m \geq 3} (A(\zeta_m) : Q) = \sum_{m \geq 3} 2\phi(m) = 2n - k.$$

It follows that all summands have been counted.

In order to locate the maximal finite subgroups of  $QD^\times$  containing  $D$ , it is sufficient to obtain the maximal  $Z$ -orders in  $QD$  and then determine their unitary groups  $U_J$ . A maximal order in the direct sum of simple rings is a direct of maximal orders in the summands. Hence we work in the summands  $A(\zeta)$  before stating the final result.

Let  $A = Z[\zeta] + Z[\zeta]u$ . Then  $A$  is the projection of  $ZD$  into  $A(\zeta)$  and it is necessary to find the maximal orders containing  $A$ . There is one case where the work has been done already. Assume that the order  $m$  of  $\zeta$  is divisible by two odd primes or by an odd prime and by 4. Then  $Z[\zeta]$  is unramified over  $Z[\zeta + \zeta^{-1}]$  and the theorem of Auslander–Goldman–Rim [40.14, 2] implies that  $A$  is already a maximal order in  $A(\zeta)$ . Hence there is a unique maximal finite subgroup of  $A(\zeta)^\times$  containing  $\langle \zeta, u_\tau \rangle$  and it is  $U_J(A)$ . Let  $\lambda = x + yu_\tau$  be an element of this group, with  $x, y$  in  $Z[\zeta]$ . Then

$$\begin{aligned} 1 &= \lambda J(\lambda) = (x + yu_\tau)(x^* + u_\tau y^*) \\ &= xx^* + yy^* + 2xyu_\tau. \end{aligned}$$

It follows from Theorem 1b that one of  $x$  or  $y$  is a root of unity and the other is 0. If  $W(\zeta)$  denotes the group of roots of unity in  $Z[\zeta]$ , then  $U_J(A) = \langle W(\zeta), u_\tau \rangle$ . Note that  $W(\zeta)$  is cyclic with generator  $\zeta$  if the order of  $\zeta$  is even and with generator  $-\zeta$  if the order of  $\zeta$  is odd.

Now we turn to the remaining case; namely, we assume the order of  $\zeta$  is a prime power or twice an odd prime power. In the latter case  $\zeta^2$  has prime power order and  $Q(\zeta) = Q(\zeta^2)$  so we shall assume  $\zeta$  has prime power order  $p' \geq 3$ . In order to simplify the notations let  $S = Z[\zeta]$  and  $R = Z[\zeta + \zeta^{-1}]$ . The trace map is  $T(x) = x + x^* = x + \tau(x)$  for  $x$  in  $Q(\zeta)$ . If  $f = 1 + u_\tau$  then  $fxf = T(x)f$  and  $u_\tau f = f$ .

Suppose  $\Gamma$  is a maximal order in  $A(\zeta)$  containing  $A$ . We have then  $Af \subseteq \Gamma f \subseteq A(\zeta)f$ . From the descriptions of  $A$  and  $A(\zeta)$  one sees  $Af = Sf$  and  $A(\zeta)f = Q(\zeta)f$ . It follows that  $\Gamma f = Mf$  for some  $\tau$ -invariant fractional  $S$ -ideal  $M$  in  $Q(\zeta)$ . The left order of  $Mf$  is  $\{\alpha \in A : \alpha Mf \subseteq Mf\}$ . This is an order containing  $\Gamma$  so it must equal  $\Gamma$  by maximality. The left order of  $Mf$  can be described using the different  $\Delta$  of the extension  $Q(\zeta)/Q(\zeta + \zeta^{-1})$ . We claim that  $\Gamma = MfM^{-1}\Delta^{-1}$ . To verify this we first note

$$(MfM^{-1}\Delta^{-1})Mf = Mf\Delta^{-1}f = T(\Delta^{-1})Mf \subseteq Mf.$$

Thus  $MfM^{-1}\Delta^{-1}$  is contained in  $\Gamma$ . Next it is clear from the inclusion  $\Gamma Mf \subseteq Mf$  that  $MfM^{-1}\Delta^{-1}$  is a left ideal of  $\Gamma$ . Suppose  $M$  is a free  $R$ -module on a basis  $m_1, m_2$ . Then its dual basis  $y_1, y_2$  lies in  $M^{-1}\Delta^{-1}$  and so  $m_1fy_1 + m_2fy_2 = w$  is an element of the left ideal. However, it is not difficult to show that  $w$  is the identity element. Hence  $\Gamma = MfM^{-1}\Delta^{-1}$  in this case. If  $M$  is not necessarily free, we first localize to make it free. Then equality holds at every localization and hence global equality holds. This gives a description of all maximal orders containing  $\Delta$ . Now we show there are at most two distinct such orders. If  $X$  is any fractional  $R$ -ideal in  $Q(\zeta + \zeta^{-1})$  then  $(XM)f = MfX$  and so  $Mf$  and  $XMf$  have the same left order. This fact is exploited by using facts about ideals of  $S$ . Let  $\pi = \zeta - \zeta^{-1}$  so  $\pi^2$  is in  $R$ . Then  $\pi S = (\pi)$  is a prime ideal and equals the different  $\Delta$ . Moreover  $(\pi)$  is the only ramified prime of  $S$  over  $R$  and so any  $\langle \tau \rangle$ -invariant fractional ideal of  $S$  has the form  $XS$  or  $X(\pi)$  with  $X$  some fractional  $R$ -ideal in  $Q(\zeta + \zeta^{-1})$ . Hence the ideal  $M$  may be taken as  $S$  or  $(\pi)$ . Since  $\pi^2$  is in  $R$  this means the only maximal orders containing  $\Delta$  are  $\Gamma_1 = SfS\pi^{-1}$  and  $\Gamma_2 = \pi^{-1}SfS$ . Since  $J(\pi) = -\pi$ , it follows that  $J(\Gamma_1) = \Gamma_2$  and  $J(\Gamma_2) = \Gamma_1$ . The two groups  $U_J(\Gamma_i)$  are  $J$ -invariant; we find  $U_J(\Gamma_i)$  lies in  $\Gamma_1 \cap \Gamma_2$ . Thus

$$U_J(\Gamma_1) = U_J(\Gamma_1 \cap \Gamma_2) = U_J(\Gamma_2).$$

Once again there is a unique maximal finite group containing  $\langle \zeta, u_\tau \rangle$ . Let  $\gamma = (x + yy_\tau)\pi^{-1}$  be an element of  $U_J(\Gamma_i)$  with  $x, y$  in  $S$ . Then

$$1 = \gamma J(\gamma) = (xx^* + yy^* + 2xyu_\tau)(-\pi^{-2}).$$

Just as in the previous case either  $x = 0$  or  $y = 0$  which means that either  $\gamma$  or  $\gamma u_\tau$  is an element of finite order in  $Q(\zeta)$ . Thus  $U_J(\Gamma_i) = \langle W(\zeta), u_\tau \rangle$  with  $W(\zeta)$  the roots of unity in  $Q(\zeta)$ .

We now may collect the results of these computations.

**THEOREM 4.** *Let  $D$  be a dihedral group of order  $2n$ . There is a unique maximal finite subgroup of  $QD^\times$  which contains  $D$ . It is a direct product of  $k$  copies of the group of order 2 ( $k = 2$  if  $n$  is odd,  $k = 4$  if  $n$  is even) and one copy of each group  $\langle W(\zeta_m), u_\tau \rangle$ , where  $\zeta_m$  is a primitive  $m$ th root of unity,  $m$  is a divisor of  $n$  with  $m \geq 3$ ,  $W(\zeta_m)$  is the group of roots of unity in  $Q(\zeta_m)$  and  $u_\tau$  is an element of order 2 which inverts  $\zeta_m$ .*

**Remarks.** 1. The orders  $\Gamma_1$  and  $\Gamma_2$  which appear in the proof are each isomorphic to the ring of  $2 \times 2$  matrices over  $R$ . However, the orders are not equal in general.

2. A generalization of the methods used in this section will be applied in some other cases in a forthcoming work.

## REFERENCES

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